

Form PTO 1449 Rev. 7-80	U.S. Department of Commerce Patent and Trademark Office LIST OF PRIOR ART CITED BY APPLICANT (Use Several Sheets If Necessary)	Atty. Docket No. CH-7929/LeA 36,206	Serial No. To Be Assigned
		Applicant Frank Berendes et al	
		Filing Date Herewith	Group

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,104,899	04/14/92	Young et al	514	646	
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							Yes	No*
	AL	447 938	10/18/95	Europe				
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	Sybesma et al., Biocatalysis and Biotransformation (month unavailable) 1998. V ol. 16, "Reductions of 3-Oxo Esters by Baker's Yeast: Current Status", pgs. 95-134
	AS	Dahl et al., Tetrahedron: Asymmetry 10, (month unavailable) (1999), "Baker's yeast: improving the D-stereoselectivity in reduction of 3-oxo-esters", pgs. 551-559
	AT	Dehli et al., Tetrahedron Asymmetry 11 (month unavailable) (2000) "Enantio- and chemoselective bioreduction of β -keto nitriles by the fungus Curvularia lunata", pgs. 3693-3700
EXAMINER		DATE CONSIDERED

EXAMINER Initial if referenced considered, whether or not citation is in conformance with MPEP 609: Draw line through if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	AR	Hayakawa et al., Tetrahedron Letters 39, (month unavailable) (1998) "Control of Enantioselectivity in the Bakers' Yeast Reduction of β -Keto Ester Derivatives in the Presence of a Sulfur Compound", pgs. 67-70
		Cabon et al., Tetrahedron: Asymmetry Vol. 6, No. 9, (month unavailable) 1995, "The Microbial Reduction of 2-Chloro-3-oxoesters" pgs. 2199-2210
	AS	Smallridge et al., Tetrahedron Letters Vol. 39, (month unavailable) (1998), "Enzymatic Alkylation of α -Cyanoketones by Bakers Yeast", pgs. 5121-5124
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	AR	Chênevert et al., Tetrahedron Vol. 48, No. 33 (month unavailable) 1992, "Asymmetric Synthesis of Both Enantiomers of Fluoxetine via Microbiological Reduction of Ethyl Benzoylacetate", pgs. 6769-6776
	AS	Kumar et al., Tetrahedron Letters, Vol. 32, No. 16, (month unavailable) 1991, "A New Chemo-enzymatic Enantioselective Synthesis of R-(--)-Tomoxetine, (R)- and (S)-Fluoxetine", pgs. 1901-1904
	AT	Kalinin et al., Tetrahedron Letters 39, (month unavailable) (1998), "Directed <i>ortho</i> Metalation - Cross Coupling Links. Carbamoyl Rendition of the Baker-Venkataraman Rearrangement. Regio-specific Route to Substituted 4-Hydroxycoumarins", pgs. 4995-4998

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	AR	D.Döpp u. H. Döpp: Carbonsäure-amide (Monoacyl-amine), Houben Weyl "Methoden der Organischen Chemie [Methods of organic chemistry]", 4 th Edition, Vol. E5, pgs. 940-1010	✓
		Houben Weyl "Methoden der Organischen Chemie", 4 th edition, Volume E 16 d, pgs. 987-1003	
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